

Nonlinear Partially Massless from Massive Gravity?

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We show that consistent nonlinear Partially Massless models cannot be obtained starting from “ f - g ” massive gravity, with “ f ” the embedding de Sitter space. The obstruction, which is also the source of f - g acausality, is the very same fifth constraint that removes the notorious sixth ghost excitation. Here, however, it blocks extension of the mass to cosmological background tuned gauge invariance that took away the helicity zero mode at linear level. Separately, our methods allow us to almost complete the class of acausal f - g models.

INTRODUCTION

The, by now well-appreciated, fact [1] that de Sitter (dS) space representations allow for novel gauge invariances of otherwise massive free flat space higher ($s \geq 2$) spins has led to hopes for extensions of these partially massless (PM) models into the nonlinear realm. The lowest spin, and most interesting, extension is that of spin 2 PM to “PM gravity” (PMG). Unfortunately, that hope has already been excluded in several contexts. Firstly, a comprehensive perturbative study of higher spin extensions [2] has noted (without giving details), that an obstruction indeed arises at quartic order (cubic extensions, being simply Noether current couplings, are always trivially allowed). A different approach, based on the observation [3] that conformal, Weyl, gravity kinematically describes both $s = 2$ PM and Einstein graviton modes about dS vacuum, led to a recent search based on suitably truncating the Weyl model [4]. Here too, an obstruction was encountered beyond cubic order. Separately, a different tack has been taken by two groups [5, 6], based on their currently popular massive gravity models (for a review, see [7]). These are (*ab initio* nonlinear) Einstein gravities, but with very special mass terms involving a preferred background, “ f ”, metric, that preserve the five degree of freedom (DoF) content of linear Fierz-Pauli (FP) massive $s = 2$. Taking this background to be a suitably “tuned” dS, they hope to define a PMG [18]. Our purpose here is to show that this avenue is unfortunately also blocked. We will find that the very dS gauge invariance required to eliminate the massive model’s helicity-0 mode cannot be implemented at nonlinear level: it would have to turn that fifth constraint into a Bianchi identity, thereby removing helicity-0 at the tuned point. But this is obstructed precisely due to the same set of its terms that lead to the massive model’s becoming acausal [8]. The irony is again that the very special set of mass terms

that are the solution to avoiding the ancient Boulware-Deser [9] sixth DoF ghost catastrophe, now become part of the problem. Indeed, a byproduct of the present work will be to extend the set of acausal mass terms in the massive theory, leaving only one (unlikely) window there—and none for PMG.

THE MODEL

We begin with the, most general, five-parameter, family of f - g massive GR actions known to have five (rather than six) DoF [10]; their field equations are:

$$\mathcal{G}_{\mu\nu} := G_{\mu\nu} - \Lambda g_{\mu\nu} - \sum_{i=1}^3 \mu_i \tau_{\mu\nu}^{(i)} = 0, \quad (1)$$

where [19]

$$\begin{aligned} \tau_{\mu\nu}^{(1)} &:= f_{\mu\nu} - g_{\mu\nu} f, \\ \tau_{\mu\nu}^{(2)} &:= 2(f_{\mu\rho} - g_{\mu\rho} f) f_{\nu}^{\rho} + g_{\mu\nu} (f^2 - f_{\rho}^{\sigma} f_{\sigma}^{\rho}), \\ \tau_{\mu\nu}^{(3)} &:= 6(f_{\mu\rho} - g_{\mu\rho} f) f_{\rho}^{\sigma} f_{\nu}^{\sigma} + 3f_{\mu\nu} (f^2 - f_{\rho}^{\sigma} f_{\sigma}^{\rho}) \\ &\quad - g_{\mu\nu} (f^3 - 3f f_{\rho}^{\sigma} f_{\sigma}^{\rho} + 2f_{\rho}^{\sigma} f_{\sigma}^{\eta} f_{\eta}^{\rho}). \end{aligned}$$

The metric $g_{\mu\nu}$ is the only dynamical field and $G_{\mu\nu}$ is its Einstein tensor. The last of the five parameters $(\Lambda, \mu_1, \mu_2, \mu_3, \bar{\Lambda})$ is encoded in the curvature of the non-dynamical vierbein f_{μ}^m :

$$\bar{R}_{\mu\nu}{}^{mn} := \bar{W}_{\mu\nu}{}^{mn} + \frac{2\bar{\Lambda}}{3} f_{[\mu}^m f_{\nu]}^n. \quad (2)$$

We are primarily interested in the case where the background metric $\bar{g}_{\mu\nu} := f_{\mu}^m f_{\nu m}$ is constant curvature (Eq. (2) with vanishing Weyl tensor $\bar{W}_{\mu\nu}{}^{mn}$) but our results also apply to the more general case of Einstein backgrounds [20]. All indices are raised and lowered with the

dynamical metric and its vierbein e_μ^m so that (perhaps somewhat confusingly for bimetric theorists)

$$f_{\mu\nu} := f_\mu^m e_{\nu m}. \quad (3)$$

Moreover we require [21]

$$f_{\mu\nu} = f_{\nu\mu}, \quad (4)$$

which gives six independent relations that, along with $g_{\mu\nu} = e_\mu^m e_{\nu m}$, determine the sixteen components of the vierbein e_μ^m in terms of the ten dynamical metric components. The equations of motion have been proven to propagate five DoF for generic parameter values in [10]. A simple covariant proof for the special case $\mu_3 = 0$ has been given in [11] (see also [8]). Before proceeding to a covariant constraint analysis, let us review the appearance of the PM model in the linearized theory.

LINEAR PM

To linearize the equation of motion (1) about a background Einstein metric $\bar{g}_{\mu\nu}$ we call

$$h_{\mu\nu} := g_{\mu\nu} - \bar{g}_{\mu\nu} \implies f_{\mu\nu} \approx \bar{g}_{\mu\nu} + \frac{1}{2} h_{\mu\nu}.$$

Noting that [22]

$$\begin{aligned} G_{\mu\nu} &\approx \bar{\Lambda} \bar{g}_{\mu\nu} + G_{\mu\nu}^L, \\ (\delta_\mu^\rho - h_\mu^\rho) \sum_{i=1}^3 \mu_i \tau_{\rho\nu}^{(i)} &\approx -3(\mu_1 - 2\mu_2 + 2\mu_3) \bar{g}_{\mu\nu} \\ &\quad - \frac{1}{2} (\mu_1 - 4\mu_2 + 6\mu_3) [h_{\mu\nu} - \bar{g}_{\mu\nu} h], \end{aligned}$$

we obtain the linearized equation of motion

$$\begin{aligned} G_{\mu\nu}^L - \bar{\Lambda} h_{\mu\nu} &\approx (\Lambda - \bar{\Lambda} - 3\mu_1 + 6\mu_2 - 6\mu_3) \bar{g}_{\mu\nu} \\ &\quad - \frac{1}{2} (\mu_1 - 4\mu_2 + 6\mu_3) [h_{\mu\nu} - \bar{g}_{\mu\nu} h]. \end{aligned} \quad (5)$$

For models obeying $\Lambda - \bar{\Lambda} - 3\mu_1 + 6\mu_2 - 6\mu_3 = 0$, the constant term vanishes and $g_{\mu\nu} = \bar{g}_{\mu\nu}$ is a solution. We thus identify the FP mass

$$m^2 = -\mu_1 + 4\mu_2 - 6\mu_3.$$

The PM tuning is $m^2 = \frac{2\bar{\Lambda}}{3}$ at which value the linearized model enjoys the gauge invariance

$$\delta h_{\mu\nu} = (\bar{\nabla}_\mu \partial_\nu + \frac{\bar{\Lambda}}{3} \bar{g}_{\mu\nu}) \alpha.$$

This, along with the vector constraint $\bar{\nabla} \cdot h_\nu - \bar{\nabla}_\mu h = 0$ following from the divergence of the linearized equation of motion $\mathcal{G}_{\mu\nu}^L = 0$ determined by (5), reduces the ten components of the dynamical field $h_{\mu\nu}$ to four propagating ones. Gauge invariances are associated with Bianchi identities; in our case, with

$$\bar{\nabla}^\mu \bar{\nabla}^\nu \mathcal{G}_{\mu\nu}^L + \frac{\bar{\Lambda}}{3} \bar{g}^{\mu\nu} \mathcal{G}_{\mu\nu}^L \equiv 0.$$

Our main goal is to search for a non-linear version of this Bianchi identity.

THE FIFTH CONSTRAINT AND PUTATIVE PM MODEL

Returning to the non-linear equation of motion (1) and taking its divergence, we immediately uncover a vector constraint

$$0 = \mathcal{C}_\nu := \nabla^\mu \mathcal{G}_{\mu\nu} = - \sum_{i=1}^3 \mu_i \nabla^\mu \tau_{\mu\nu}^{(i)}. \quad (6)$$

The right hand side was obtained using the Bianchi identity for the Einstein tensor $G_{\mu\nu}$ and contains at most one derivative on the dynamical metric. Presently, we will need explicit expressions for the right hand side of (6) but first present an “index-free” sketch of how a fifth, scalar constraint arises. In particular, we focus on whether this constraint can morph into a Bianchi identity. Our scheme is to organize the scalar constraint in powers of the background vierbein f and derivatives of the dynamical metric.

Since the non-linear mass terms $\tau^{(i)}$ depend algebraically on f and g , their covariant derivatives appearing in the vector constraint (6), take the form $f^{i-1} \nabla f$. Of course $\bar{\nabla} f \equiv 0$, so ∇f measures the difference between the Levi-Civita connections of e and f , or in other words the contorsion K (see Eq. (11) below) which counts as one metric derivative. Hence the vector constraint takes the form

$$0 = \mu_1 K f + \mu_2 f K f + \mu_3 f^2 K f.$$

Multiplying this expression by f^{-1} and taking a further divergence yields

$$0 = \mu_1 \nabla K + \mu_2 \nabla(f K) + \mu_3 \nabla(f^2 K). \quad (7)$$

This scalar relation involves two derivatives on the dynamical metric so is not a constraint. However, contracting the field equation $\mathcal{G}_{\mu\nu}$ on either the metric or $f_{\mu\nu}$ (and powers thereof) also produces a scalar depending on two metric derivatives. In particular, the Riemann tensor $R(g)$ of the metric g can be expressed in terms of its \bar{g} counterpart and contorsions. Thus, using Eq. (2), the Einstein tensor can be expanded as

$$G(g) = \bar{\Lambda} f^2 + \bar{W} + \nabla K + K^2.$$

Thus the contracted field equation yields

$$\begin{aligned}
\mu_1 \mathcal{G} + \mu_2 f \mathcal{G} + \mu_3 f^2 \mathcal{G} = & \textcolor{violet}{\mu_1 \bar{\Lambda} f^2} + \textcolor{blue}{\mu_1 \bar{W}} + \mu_1 \nabla K + \mu_1 K^2 + \textcolor{red}{\mu_1 \Lambda} + \textcolor{blue}{\mu_1^2 f} + \textcolor{green}{\mu_1 \mu_2 f^2} + \textcolor{brown}{\mu_1 \mu_3 f^3} \\
& + \textcolor{brown}{\mu_2 \bar{\Lambda} f^3} + \textcolor{blue}{\mu_2 f \bar{W}} + \mu_2 f \nabla K + \mu_2 f K^2 + \textcolor{blue}{\mu_2 \Lambda f} + \textcolor{green}{\mu_2 \mu_1 f^2} + \textcolor{brown}{\mu_2^2 f^3} + \textcolor{brown}{\mu_2 \mu_3 f^4} \\
& + \textcolor{brown}{\mu_3 \bar{\Lambda} f^4} + \textcolor{blue}{\mu_3 f^2 \bar{W}} + \mu_3 f^2 \nabla K + \mu_3 f^2 K^2 + \textcolor{green}{\mu_3 \Lambda f^2} + \textcolor{brown}{\mu_3 \mu_1 f^3} + \textcolor{brown}{\mu_3 \mu_2 f^4} + \textcolor{magenta}{\mu_3^2 f^5}.
\end{aligned} \tag{8}$$

There are two criteria we can place on this relation: (i) For a fifth covariant constraint to exist, the double derivative metric terms in the third column on the right hand side must cancel once one employs the double divergence of the field equation given in Eq. (7). (ii) For a Bianchi identity signaling PM, all remaining terms must cancel (these are color-coded for easy reading). For models with non-vanishing (μ_1, μ_2) and $\mu_3 = 0$, criterion (i) has been proven to hold [11]. The case $\mu_3 \neq 0$ is still an open question, but will soon turn out to be irrelevant for our PM considerations. We thus turn to the second, PM, criterion.

To study criterion (ii), we first examine terms algebraic in f order by order. At order zero (red), there is only a single term forcing the parameter-constraint

$$\mu_1 \Lambda = 0,$$

while at order one (blue) there are two terms: $\mu_1^2 f + \mu_2 \Lambda f$. In the case $\Lambda \neq 0$ we are forced to set $\mu_1 = 0$ and in turn $\mu_2 = 0$. Coupled with the fact that there is only a single term $\mu_3^2 f^5$ at order five (magenta), which imposes

$$\mu_3 = 0$$

(the tensor structure f^5 is generically non-vanishing [23]), to uncover a non-trivial model we must set

$$\Lambda = 0,$$

then in turn forcing

$$\mu_1 = 0.$$

The only remaining algebraic f -terms are order three (pink): $\mu_2^2 f^3 + \mu_2 \bar{\Lambda} f^3$. Since we must avoid setting $\mu_2 = 0$ (which would return us to cosmological GR), we are forced to impose a tuning $\mu_2 \sim \bar{\Lambda}$. From the linearized considerations of the previous Section, we can already deduce this tuning to be

$$\mu_2 = \frac{\bar{\Lambda}}{6},$$

in order that the FP mass obeys $m^2 = \frac{2\bar{\Lambda}}{3}$. This value also precisely cancels the unwanted constant term in the

linearized equation of motion (5). To be definite, our putative PM model has equation of motion

$$G_{\mu\nu} = \frac{\bar{\Lambda}}{3} (f_{\mu\rho} - g_{\mu\rho} f) f_\nu^\rho + \frac{\bar{\Lambda}}{6} g_{\mu\nu} (f^2 - f_\rho^\sigma f_\sigma^\rho). \tag{9}$$

This model strongly resembles the bimetric-motivated PM proposal of [6] (except that there $\bar{\Lambda} = \Lambda$) but differs sharply from the decoupling limit inspired PM conjecture of [5]. (Possibly, heightened sensitivity of the decoupling method to the contorsion difficulties we are about to encounter might explain this discrepancy.) At this juncture we can go no further with our index-free discussion and must perform an explicit computation of the fifth constraint to determine whether the model given by Eq. (9) is PM.

BIANCHI IDENTITY?

To investigate explicitly the putative PM Bianchi identity, we first gather some technical tools. The equation of motion is now $\mathcal{G}_{\mu\nu} := G_{\mu\nu} - \frac{\bar{\Lambda}}{6} \tau_{\mu\nu}^{(2)}$. The vector constraint is easy to compute, we find (denoting the inverse f -bein by ℓ^μ_m)

$$0 = \ell^\nu_\mu \mathcal{C}_\nu := \ell^\nu_\mu \nabla^\rho \mathcal{G}_{\rho\nu} = -\frac{\bar{\Lambda}}{3} (f^{\nu\rho} K_{\nu\rho\mu} - f K_\nu{}^\nu{}_\mu + f_\mu^\rho K_\nu{}^\nu{}_\rho). \tag{10}$$

Here the contorsion K is defined by the difference of dynamical and background spin connections

$$K_\mu{}^m{}_n := \omega(e)_\mu{}^m{}_n - \omega(f)_\mu{}^m{}_n. \tag{11}$$

It allows us to relate dynamical and background Riemann tensors

$$\begin{aligned}
R_{\mu\nu}{}^{mn} = & \bar{W}_{\mu\nu}{}^{mn} + \frac{2\bar{\Lambda}}{3} f_{[\mu}{}^m f_{\nu]}{}^n \\
& + 2\nabla_{[\mu} K_{\nu]}{}^{mn} - 2K_{[\mu}{}^m{}_{\nu]}{}^n.
\end{aligned}$$

Thus, tracing the Einstein tensor with f as discussed in the previous Section, we find

$$\begin{aligned}
f^{\mu\nu}G_{\mu\nu} &= f^{\mu\sigma}\bar{W}_{\mu\nu}{}^{\nu}{}_{\sigma} - \frac{1}{2}f\bar{W}_{\mu\nu}{}^{\nu\mu} + \frac{\bar{\Lambda}}{6}(2f_{\mu}^{\nu}f_{\nu}^{\rho}f_{\rho}^{\mu} - 3ff_{\mu}^{\nu}f_{\nu}^{\mu} + f^3) \\
&+ f^{\mu\rho}(\nabla_{\mu}K_{\nu}{}^{\nu}{}_{\rho} - \nabla_{\nu}K_{\mu}{}^{\nu}{}_{\rho}) - f\nabla_{\mu}K_{\nu}{}^{\nu\mu} - f^{\mu\sigma}K_{\mu\nu\rho}K^{\nu\rho}{}_{\sigma} + f^{\mu\sigma}K_{\mu\rho\sigma}K_{\nu}{}^{\nu\rho} + \frac{1}{2}f(K_{\mu\nu\rho}K^{\nu\rho\mu} + K_{\mu}{}^{\mu}{}_{\rho}K_{\nu}{}^{\nu\rho}).
\end{aligned} \tag{12}$$

Recalling that all indices are moved with the dynamical metric and vierbein, observe that the terms involving the background Weyl tensor do not vanish (its tracelessness is with respect to $\bar{g}_{\mu\nu}$). As the Weyl tensor is generated nowhere else, we proceed by retreating from Einstein to constant curvature backgrounds by setting $\bar{W}_{\mu\nu}{}^{mn} = 0$. This does not augur well for the putative PM model, since linear PM fields are known to propagate in Einstein backgrounds [4, 12].

The next task is to cancel the terms cubic in f . There a temporary victory is won since

$$f^{\mu\nu}\tau_{\mu\nu}^{(2)} = 2f_{\mu}^{\nu}f_{\nu}^{\rho}f_{\rho}^{\mu} - 3ff_{\mu}^{\nu}f_{\nu}^{\mu} + f^3,$$

which implies (thanks to the PM tuning of μ_2 to $\bar{\Lambda}$) that $f^{\mu\nu}\mathcal{G}_{\mu\nu}$ now equals the last line of (12). Those terms involve double derivatives of the metric which can be canceled against the divergence of the vector constraint Eq. (10) so that

$$\begin{aligned}
0 = \mathcal{C} &:= \nabla_{\mu}(\ell^{\mu\nu}\nabla^{\rho}\mathcal{G}_{\rho\nu}) + \frac{\bar{\Lambda}}{3}f^{\mu\nu}\mathcal{G}_{\mu\nu} = \\
&- \frac{\bar{\Lambda}}{3}\left\{\nabla^{\mu}f^{\nu\rho}(K_{\rho\nu\mu} - g_{\nu\rho}K_{\sigma}{}^{\sigma}{}_{\mu} + g_{\mu\rho}K_{\sigma}{}^{\sigma}{}_{\nu}) \right. \\
&+ f^{\mu\sigma}K_{\mu\nu\rho}K^{\nu\rho}{}_{\sigma} + f^{\mu\nu}K_{\mu\nu\rho}K_{\sigma}{}^{\sigma\rho} \\
&\left. - \frac{1}{2}f(K_{\mu\nu\rho}K^{\nu\rho\mu} + K_{\mu}{}^{\mu}{}_{\rho}K_{\nu}{}^{\nu\rho})\right\}.
\end{aligned} \tag{13}$$

Assuming the right hand side does NOT vanish identically, it is a constraint (since there are no double derivatives on the metric). Its identical vanishing is the acid PM test! For this test, we may employ the vector constraint (10) since that would only amount to modifying the form of the putative Bianchi identity. This allows us to replace $f^{\mu\nu}K_{\mu\nu\rho} = fK_{\mu} - f_{\mu}^{\nu}K_{\nu}$ (where $K_{\nu} := K_{\mu}{}^{\mu}{}_{\nu}$). Collecting terms and converting the ∇f term in (13) to contorsions we now face the question:

$$0 \stackrel{?}{=} \frac{1}{2}fK^{\mu}K_{\mu} - f_{\sigma}^{\rho}K_{\mu\nu\rho}(K^{\nu\mu\sigma} - K^{\sigma\mu\nu}) - \frac{1}{2}fK_{\mu\nu\rho}K^{\nu\rho\mu}.$$

Here we may make use of any identities for the contorsion that follow from symmetry of f ; see Eq. (4). A covariant derivative of that relation yields

$$0 = K_{\rho}{}^m{}_n f_{[\mu}{}^n e_{\nu]m} - (\Gamma(g) - \Gamma(\bar{g}))_{\rho}{}^{\sigma}{}_{[\mu} f_{|\sigma|}{}^m e_{\nu]m}.$$

Taking the totally antisymmetric part of the above removes the difference of Christoffels term so that

$$0 = K_{[\mu\nu}{}^{\sigma} f_{\rho]\sigma}.$$

This allows one further simplification, yielding the final query

$$0 \stackrel{?}{=} \frac{1}{2}fK^{\mu}K_{\mu} - f_{\sigma}^{\rho}K_{\mu\nu\rho}K^{\mu\nu\sigma} - \frac{1}{2}fK_{\mu\nu\rho}K^{\nu\rho\mu}. \tag{14}$$

To be absolutely certain that we are not missing some (unlikely) cancellations, we evaluate Eq. (14) using a solution to the vector constraint (10) (but *not* of the full field equations). For that, we consider an ansatz

$$ds^2 = -dt^2 + e^{2Mt}\left(\alpha dx^2 + dy^2 + \frac{dz^2}{\alpha}\right)$$

for the dynamical metric in the background de Sitter coordinates

$$d\bar{s}^2 = -dt^2 + e^{2Mt}(dx^2 + dy^2 + dz^2),$$

where $M^2 := \frac{\bar{\Lambda}}{3}$. The exact physical properties of the above ansatz are irrelevant here, we are merely verifying that no *identity* vanquishes the quantity in (14). It is not difficult to verify that this ansatz obeys the vector constraint (10) but returns $\mathcal{C} = 2M^4 \frac{(\alpha-1)^2}{\alpha}$ for the putative Bianchi identity. In other words, \mathcal{C} is a constraint, and cannot be improved to a Bianchi identity. Despite the slew of algebraic cancellations achieved by the PM tuning, it did not suffice to find an identity. There is no new scalar gauge invariance removing the zero helicity mode, hence no nonlinear PMG.

ACAUSALITY

Having dismissed the possibility of self-interacting nonlinear PM, we can apply our results to study causality of models with mass terms of type $\tau_{\mu\nu}^{(2)}$. The results of the previous Section and [8] demonstrate that models

$$\mathcal{G}_{\mu\nu} := G_{\mu\nu} - \Lambda g_{\mu\nu} - \mu_1 \tau_{\mu\nu}^{(1)} - \mu_2 \tau_{\mu\nu}^{(2)} = 0, \tag{15}$$

propagate five degrees of freedom for *all* parameter values (Λ, μ_1, μ_2) . Moreover the five constraints responsible for this behavior are

$$\begin{aligned}
\mathcal{C}_\nu &:= \nabla^\mu \mathcal{G}_{\mu\nu} = - \left[\mu_1 K^\mu + 2 \mu_2 (f^{\rho\sigma} K_{\rho\sigma}{}^\mu - f K^\mu + f^{\mu\rho} K_\rho) \right] f_{\mu\nu}, \\
\mathcal{C} &:= \nabla_\rho (\ell^{\rho\nu} \nabla^\mu \mathcal{G}_{\mu\nu}) - \left(\frac{1}{2} \mu_1 g^{\mu\nu} - 2 \mu_2 f^{\mu\nu} \right) \mathcal{G}_{\mu\nu} \\
&= 2 \mu_1 \Lambda - \left(\frac{3}{2} \mu_1^2 + 2 \mu_2 \Lambda \right) f + 3 \mu_1 \mu_2 (f^2 - f_\mu^\nu f_\nu^\mu) - 2 \mu_2^2 (f_\mu^\nu f_\nu^\rho f_\rho^\mu - \frac{3}{2} f f_\mu^\nu f_\nu^\mu + \frac{1}{2} f^3) \\
&+ \left[\frac{1}{2} \mu_1 e^\mu{}_n + 2 \mu_2 (f^\mu{}_n - \frac{1}{2} f e^\mu{}_n) \right] e^\nu{}_m \bar{R}_{\mu\nu}{}^{mn} - \frac{1}{2} \mu_1 (K_{\mu\nu\rho} K^{\nu\rho\mu} + K_\mu K^\mu) \\
&- 2 \mu_2 \left[f_\sigma^\rho K_{\mu\nu\rho} (K^{\nu\sigma\mu} - K^{\sigma\nu\mu}) + f^{\mu\nu} K_\mu K_\nu + f^{\mu\nu} K_{\mu\nu\rho} K^\rho - \frac{1}{2} f (K_{\mu\nu\rho} K^{\nu\rho\mu} + K_\rho K^\rho) \right].
\end{aligned} \tag{16}$$

We are now ready to study characteristics. We suppose that the dynamical metric suffers a leading discontinuity at two derivative order across the characteristic surface Σ

$$[\partial_\alpha \partial_\beta g_{\mu\nu}]_\Sigma = \xi_\alpha \xi_\beta \gamma_{\mu\nu}. \tag{17}$$

Our task is to search for pathological characteristics with timelike normal

$$\xi^\mu g_{\mu\nu} \xi^\nu < 0,$$

with respect to the metric $g_{\mu\nu}$. Since there is a background metric, one could also consider causal structures with respect to $\bar{g}_{\mu\nu}$ and would encounter exactly the same acausality difficulty as the one we present here. However, since $g_{\mu\nu}$ is the metric which couples to matter's stress tensor as well as governing the good causality properties of the leading helicity ± 2 Einstein modes, we study it. In general acausal characteristics are ultimately associated with a breakdown of positivity of equal time commutators [17] and thus signal inconsistency of the theory.

We lose no generality by taking $\xi^2 = -1$. Also, the metric discontinuity (17) implies the leading vierbein discontinuity

$$[\partial_\alpha \partial_\beta e_\mu{}^m]_\Sigma = \xi_\alpha \xi_\beta \mathcal{E}_\mu{}^m,$$

where the leading discontinuity in the relation $e_\mu{}^m e_{\nu m} = g_{\mu\nu}$ implies

$$2 \mathcal{E}_{\mu\nu} = \gamma_{\mu\nu} + a_{\mu\nu},$$

with $a_{\mu\nu} = -a_{\nu\mu}$.

Absence of acausal characteristics would hold if the algebraic set of conditions following from the leading discontinuity in: (i) the equation of motion (15), (ii) the constraints (16) and (iii) the symmetry condition (4), forces $\gamma_{\mu\nu} = 0 = a_{\mu\nu}$ when $\xi^2 = -1$. Any causality violations of course appear in lower helicity sectors because the leading discontinuity of the equation of motion is that of Einstein's theory:

$$\xi^2 \gamma_{\mu\nu} - \xi_\mu \xi \cdot \gamma_\nu - \xi_\nu \xi \cdot \gamma_\mu + \xi_\mu \xi_\nu \gamma = 0.$$

This implies that the transverse part $\gamma_{\mu\nu}^\perp = 0$. In what follows we will decompose tensors with respect to the (unit) timelike vector ξ_μ according to

$$\begin{aligned}
V_\mu &:= V_\mu^\perp - \xi_\mu \xi \cdot V, \\
S_{\mu\nu} &:= S_{\mu\nu}^\perp - \xi_\mu S_\nu^\perp - \xi_\nu S_\mu^\perp + \xi_\mu \xi_\nu \xi \cdot S, \quad (S_\mu := \xi \cdot S_\mu), \\
A_{\mu\nu} &:= A_{\mu\nu}^\perp + \xi_\mu A_\nu^\perp - \xi_\nu A_\mu^\perp, \quad (A_\mu^\perp := A_{\mu\nu}^\perp \xi^\nu),
\end{aligned}$$

where V , S and A denote a vector, and symmetric and antisymmetric tensors, respectively.

At this juncture, of the sixteen components of $\gamma_{\mu\nu}$, and $a_{\mu\nu}$, the ten encoded by γ_μ^\perp (three), $\xi \cdot \xi \cdot \gamma$ (one), $a_{\mu\nu}^\perp$ (three) and a_μ^\perp (three), remain. The discontinuity in the symmetry relation (4) gives six homogeneous conditions on these:

$$f_{[\mu}^\perp a_{\nu]}^\perp - f_{[\mu}^\perp \left\{ a_{\nu]}^\perp + \gamma_{\nu]}^\perp \right\} = 0 = f_\rho^\perp a_\nu^\perp + f_\mu^\perp (a_\rho^\perp - \gamma_\rho^\perp) + f_\mu^\perp \xi \cdot \xi \cdot \gamma - \xi \cdot \xi \cdot f (a_\mu^\perp + f_\mu^\perp).$$

At very best, at this point only four combinations of the ten variables $(\gamma_\mu^\perp, \xi \cdot \xi \cdot \gamma, a_{\mu\nu}^\perp, a_\mu^\perp)$ are left. Thus we need

four more conditions to establish the absence of acausal

characteristics. These can only come from the four constraints (16) (further constraints would anyway destroy the DoF count). The leading discontinuity in the constraints is given by the metric derivatives in the contortions and thus proportional to $[\partial_\alpha K_{\mu\nu\rho}]_\Sigma$. This quantity is easily computed

$$\begin{aligned} 2\xi^\alpha[\partial_\alpha K_{\mu\nu\rho}]_\Sigma &= \xi_\nu \mathcal{E}_{\rho\mu} - \xi_\rho \mathcal{E}_{\nu\mu} - \xi_\mu \mathcal{E}_{\nu\rho} + \xi_\nu \mathcal{E}_{\mu\rho} + \xi_\mu \mathcal{E}_{\rho\nu} - \xi_\rho \mathcal{E}_{\mu\nu} \\ &= -\xi_\mu a_{\nu\rho}^\perp - 2\xi_\mu \xi_{[\nu} \left\{ a_{\rho]}^\perp + \gamma_{\rho]}^\perp \right\}. \end{aligned}$$

Thus the discontinuity in the constraints gives four homogeneous linear conditions on the six quantities $(a_{\mu\nu}^\perp, a_\mu^\perp + \gamma_\mu^\perp)$. To summarize we have the following linear system of ten equations in ten unknowns:

variables	homogeneous conditions
$a_{\mu\nu}^\perp, a_\mu^\perp + \gamma_\mu^\perp$	7
$a_{\mu\nu}^\perp, a_\mu^\perp + \gamma_\mu^\perp, a_\mu^\perp - \gamma_\mu^\perp, \xi \cdot \xi \cdot \gamma$	3

Evidently, from the first line of the table, seven homogeneous conditions on the six variables $(a_{\mu\nu}^\perp, a_\mu^\perp + \gamma_\mu^\perp)$ will generically force these to vanish which, by itself, bodes well for causality (non-generic conditions that do not kill $(a_{\mu\nu}^\perp, a_\mu^\perp + \gamma_\mu^\perp)$ already correspond to acausal characteristics). But there are only three conditions on the four remaining variables $(a_\mu^\perp - \gamma_\mu^\perp, \xi \cdot \xi \cdot \gamma)$, which means that some combination thereof does not vanish, so there are acausal characteristics.

CONCLUSIONS

We have demonstrated that none of the ghost-free, f - g massive gravity models of [10, 13] exhibits partial masslessness [24]. The same terms are responsible for acausality of ghost-free, f - g massive gravity models [25]. These results are consistent with earlier order by order analyses of PM self-interactions [2] that claimed no consistent self-couplings existed beyond (as usual, safe) cubic order [26]. A conformal gravity inspired PM study reached the same conclusion [4]. The old lesson (first learnt in a charged massive $s = 3/2$ context [17]) is again at play here, healthy DoF counts alone need not imply physical consistency.

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- [17] K. Johnson and E. C. G. Sudarshan, *Annals Phys.* **13**, 126 (1961).
- [18] While it is not clear to us if the above two models coincide, this is irrelevant: we will show that there are no consistent PMG. Of course if their purportedly unique PMG candidate theories are different, then absence of PMG is already proven by contradiction!
- [19] The mass terms $\tau_{\mu\nu}^{(i)}$ are generated by the compact expression [11]
$$\varepsilon_\mu^{\mu_1\mu_2\mu_3}\varepsilon_{\nu m_1 m_2 m_3}f_{\mu_1}^{m_1}\dots f_{\mu_i}^{m_i}e_{\mu_{i+1}}^{m_{i+1}}\dots e_{\mu_3}^{m_3}.$$
- [20] Linear PM can indeed propagate in Einstein backgrounds [12]; geometrically this may be viewed as the obstruction to a conformally Bach-flat (conformal gravity) metric being conformally Einstein [4].
- [21] Here, we take Eq. (3) as part of the definition of the theory, although, generically it can be derived from the

equations of motion and in turn an underlying action principle [11]. Ruling out non-generic vierbeine solutions not subject to Eq.(4) is akin to the invertibility requirement placed on them and the metric.

- [22] For the remainder of this Section (only) we raise and lower indices with the background metric $\bar{g}_{\mu\nu}$.
- [23] This point deserves some clarification: There is in fact a single choice of contraction of two f 's on the mass term $\tau_{\mu\nu}^{(3)}$ that vanishes, namely $[f_\rho^\mu f^{\rho\nu} - \frac{1}{4} f f^{\mu\nu}] \tau_{\mu\nu}^{(3)} \equiv 0$ (this is easily verified by diagonalizing the symmetric matrix $f_{\mu\nu}$). In that case one must consider the terms in Eq. (8) quartic in f , namely $\mu_2 \mu_3 f^{\mu\nu} \tau_{\mu\nu}^{(3)}$, $\mu_2 \mu_3 [f_\rho^\mu f^{\rho\nu} - \frac{1}{4} f f^{\mu\nu}] \tau_{\mu\nu}^{(2)}$ and $\mu_3 \bar{\Lambda} [f_\rho^\mu f^{\rho\nu} - \frac{1}{4} f f^{\mu\nu}] \bar{G}_{\mu\nu}$ where $\bar{G}_{\mu\nu} := f_\mu^\rho f_{\rho\nu} - f f_{\mu\nu} - \frac{1}{2} g_{\mu\nu} (f_\sigma^\rho f_\rho^\sigma - f^2)$. The only combination of these three tensor structures that vanishes is $[f_\rho^\mu f^{\rho\nu} - \frac{1}{4} f f^{\mu\nu}] (\tau_{\mu\nu}^{(2)} - 2 \bar{G}_{\mu\nu})$. Thus the coefficient $\mu_2 \mu_3$ of $f^{\mu\nu} \tau_{\mu\nu}^{(3)}$ must vanish. Vanishing μ_2 alone

would then force $\bar{\Lambda} = 0$, so is ruled out. Thus we conclude $\mu_3 = 0$.

- [24] For one model (see Eq. (9) this failure involves only terms in the fifth constraint made from squares of contorsions in constant curvature backgrounds (but also Weyl terms in Einstein ones).
- [25] Strictly speaking, absent an explicit computation of the covariant constraint for the $\tau_{\mu\nu}^{(3)}$ mass term, these models could conceivably survive, but it seems far more likely that the form of the fifth constraint and the generality of our characteristics computation will rule that model out too.
- [26] In fact, since $s = 2$, $d = 4$ PM is gauge invariant, propagates on light cone [14], is conformally [15] and duality invariant [16], and couples consistently to charged matter, it might make more sense to search for non-abelian Yang–Mills-like interactions.